



#2121  
#4

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Liqun Chen, et al. ) Re: Information Disclosure  
Serial No.: 09/936,132 ) Statement  
Filed: September 4, 2001 ) Group: 2121  
For: "COMPUTING APPARATUS AND METHODS ) Examiner: not yet assigned  
USING SECURE AUTHENTICATION ) Our Ref: B-4294PCT 619054-4  
ARRANGEMENTS" ) Date: March 15, 2002

**RECEIVED**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

MAR 29 2002

Sir:

Technology Center 2100

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on Form-1449 (modified) are those cited in the Search Reports of PCT Application No. PCT/GB00/00751 (4 pages), PCT Application No. PCT/GB00/00752 (4 pages) and United Kingdom Application No. GB 9905056.9 (1 page). A copy of each Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

Adjustment date: 07/26/2002 EEKUBAY1  
03/28/2002 MAHME1 00000077 120415 09936132  
01 FC:126 180.00 CR

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner

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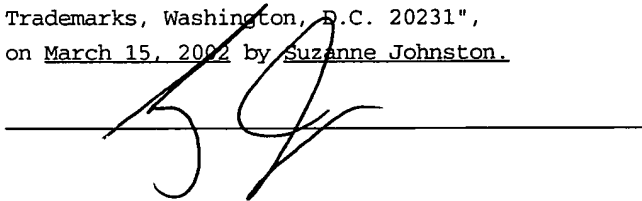
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is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231", on March 15, 2002 by Suzanne Johnston.



Respectfully submitted,

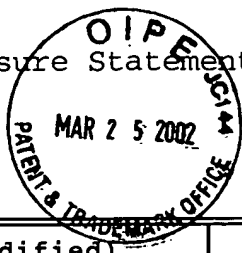


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Enclosures: Form PTO-1449 (modified) (1 page)  
Copy of Search Report of PCT/GB00/00751 (4 pages)  
Copy of Search Report of PCT/GB00/00752 (4 pages)  
Copy of Search Report of GB 9905056.9 (1 page)  
Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4294PCT 619054-4	U.S. SERIAL NO. 09/936,132
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Liquun Chen, et al.	
	FILING DATE September 4, 2001	GROUP 2121

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	4,562,306	12/85	Chou et al.	178	22.08	
	5,864,624	1/99	Lukas et al.	380	25	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
2 317 038	3/98	GB			
2 317 983	4/98	GB			
2 320 597	6/98	GB			
93/24906	12/93	WO			
95/24696	9/95	WO			

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Abstract of Netherlands Patent NL 9101506, published April 1, 1993.
	Ferreira, R., "The Practical Application of State of the Art Security in Real Environments," <i>Proceedings of the International Conference on Cryptology, Auscrypt</i> , pp. 334-355 (January 1990).
	Verschuren, T., "Smart access: strong authentication on the Web," <i>Computer Networks and ISDN Systems</i> , Vol 30, No. 16-18, pp. 1511-1519 (September 30, 1998).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.